

**PLEASE SIGN THE LAST PAGE
AND RETURN TO VILLAGE HALL**



VILLAGE OF STEWART MANOR, NEW YORK

Municipal Officer and Employee Code of Ethics and Conflict of Interest Policy

The Village Board of Trustees recognizes that sound, ethical standards of conduct serve to increase the effectiveness of the Village Board of Trustees, Village staff and volunteers. Actions based on an ethical code of conduct promote public confidence and the attainment of the Village's goals. The Board also recognizes its obligation, under the provisions of the New York General Municipal Law, to adopt a code of ethics setting forth the standards of conduct required of all Municipal officers and employees.

The Village Board of Trustees is also committed to avoiding any situation in which the existence of simultaneous, conflicting interests of any Municipal officer or employee may call into question the integrity of the management or operation of the Village. The Board affirms its commitment to adhere scrupulously to all applicable provisions of law regarding material conflicts of interest.

1. Gifts: No Municipal officer or employee shall directly or indirectly solicit, accept, or receive any money or gift having a value of \$75 or more, whether in the form of cash, check, loan, credit, services, travel, entertainment, hospitality, thing or promise, or any other form, under circumstances in which it could reasonably be inferred that the money or gift was intended to influence him or her in the performance of his or her official duties or was intended as a reward for any official action on his or her part. However, the Board welcomes and encourages the writing of letters or notes expressing gratitude or appreciation to staff members.
2. Confidential Information: No Municipal officer or employee shall disclose confidential information acquired by him or her in the course of his or her official duties or use such information to further his or her personal interest. In addition, he or she shall not disclose information regarding any matters discussed in an executive session of the Board of Trustees.

3. Representation Before the Board: A Municipal officer or employee shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Village Board of Trustees.
4. Representation Before the Board for a Contingent Fee: A Municipal officer or employee shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Village Board, whereby the compensation is to be dependent or contingent upon any action by the Board with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered.
5. Disclosure of Interest in Matters before the Board: A member of the Board of Trustees and any Municipal officer or employee of the Village, whether paid or unpaid, who participates in the discussion or gives official opinion to the Board on any matter before the Board shall publicly disclose on the official record the nature and extent of any direct or indirect financial or other private interest he or she has in such matter. The term "interest" means a pecuniary or material benefit accruing to a Municipal officer or employee.
6. Disclosure of Interests in Contracts: To the extent known, any Municipal officer or employee of the Village who has, or will have, or subsequently acquires any interest in any contract with the Village shall publicly disclose the nature and extent of such interest in writing to the Village as well as to the Village Clerk as soon as he or she has knowledge of such actual or prospective interest.
7. Investments in Conflict with Official Duties: No Municipal officer or employee of the Village shall invest or hold any investment directly or indirectly in any financial, business, commercial or other private transaction that creates a conflict with his or her official duties.
8. Certain Real Property Interests Prohibited: No Municipal officer or employee of the Village who has an interest in any real property, either individually or as a Municipal officer or employee of a corporation or partnership, shall participate in the acquisition or plan for acquisition of said property or any property adjacent to said property by the Village. The term "participate" shall include the promotion of the site as well as the negotiation of the terms of the acquisition.
9. Prohibited Conflicts of Interest: No Municipal officer or employee shall have an interest in any contract between the Village and a corporation or partnership of which he or she is an officer or employee when such Municipal officer or employee has the power to negotiate, prepare, authorize or approve the contract or authorize or approve payment there under, audit bills or claims under the contract, or appoint an officer or employee who has any of the powers or duties set forth above, and no chief fiscal officer, treasurer or his or her deputy or employee shall have an interest in a bank or trust company designated as a depository paying agent, registration agent or for investment of Village funds of which he or she is an officer or employee. The provisions of this action shall in no event be construed to preclude the payment of lawful compensation

and necessary expenses of any Municipal officer or employee in one or more positions of public employment, the holding of which is not prohibited by law.

10. Certain Prohibited Actions: No person employed by the Village shall hire, supervise, evaluate, promote, review or discipline any other employee who is a member of the same family. In the event that marriage, promotion, or reorganization results in a situation not in compliance with this policy, reassignment or transfer will be effected in accordance with the applicable provisions of any collective bargaining agreement to correct the situation.
11. Private Employment: No Municipal officer or employee of the Village shall engage in, solicit, negotiate for or promise to accept private employment or render services for private interests when such employment or service creates a conflict with or impairs the proper discharge of his or her official duties.
12. Future Employment: No Municipal officer or employee of the Village shall, after the termination of service or employment with the Village Board, appear before the Board or any panel or committee of the Board, in relation to any case, proceeding, or application in which he or she personally participated during the period of his or her service or employment or that was under his or her active consideration. This shall not bar or prevent the timely filing by a present or former Municipal officer or employee of any claim, account, demand or suit against the Village on his or her own behalf or on behalf of any member of his or her family arising out of any personal injury or property damage or for any lawful benefit authorized or permitted by law.
13. Use of Village Property: No Municipal officer or employee shall use or permit the use of property, owned or leased to the Village, for anything other than official purposes or for activities not otherwise officially approved by the Village Board of Trustees.
14. Duty to Disqualify: It is incumbent upon any Municipal officer or employee, whether paid or unpaid, to disqualify himself or herself immediately whenever the appearance of a conflict of interest exists.
15. Duty to Report Conflicts of Interest: In the event that any Municipal officer or employee knows of or perceives a direct or indirect conflict of interest, he or she shall report it to the Village Board of Trustees. Any resolution of such conflict by the Board shall hold the Village's interest paramount, as well as maintain the Board's integrity in its governing role.
16. Duty to Report Violations of this Policy: Any Municipal officer or employee or any member of the public noting or suspecting a violation of this policy is encouraged to report the matter, either in confidence or in public, to the Village Board of Trustees.

*Distribution of the Municipal Officer and Employee Code of Ethics and
Conflict of Interest Policy*

The Village Board of Trustees shall cause a copy of this Code of Ethics and Conflict of Interest Policy to be distributed to every Municipal officer and employee of the Village. Each Municipal officer and employee elected or appointed thereafter shall be furnished a copy before entering upon the duties of his or her office or employment. In addition, the Board shall ensure that a copy of Article 18 of the General Municipal Law shall be kept posted in each public building under the Village's jurisdiction in a place conspicuous to the Municipal officers and employees.

Penalties

In addition to any penalty contained in any other provision of law, a Municipal officer or employee who shall knowingly and intentionally violate any of the provisions of this Code of Ethics and Conflict of Interest Policy may be subject to disciplinary action up to and including the imposition of fines, suspension, and dismissal, in the manner provided by applicable law.

Acknowledgement

The standard of behavior at the Incorporated Village of Stewart Manor is that all Municipal officers and employees, whether paid or unpaid, scrupulously avoid any conflict of interest between the interests of the Incorporated Village of Stewart Manor on the one hand, and their personal, professional, and business interests on the other. This includes avoiding actual conflicts of interest as well as potential and perceived conflicts of interest.

I understand that the purposes of this policy are to protect the integrity of the Incorporated Village of Stewart Manor's decision-making process, to enable Village constituents to have confidence in the Village's integrity, and to protect the integrity and reputation of all Municipal officers and employees both paid and unpaid.

Upon or before election, hiring or appointment, I will make a full, written disclosure of any and all interests, relationships, and holdings that do create or could potentially create a conflict of interest. This written disclosure will be kept on file and I will update it as appropriate.

During the course of meetings or activities, I will disclose any interests in a transaction or decision where I (including my business and any other nonprofit affiliation), my family and/or my significant other, employer, or close associates will receive a benefit or gain. After disclosure, I understand that I will be asked to leave the room for the discussion and will not be permitted to vote on the question.

I understand that this policy is meant to be a supplement to good judgment, and I will respect its spirit as well as its wording.

Signature: _____ Print Name: _____

Date: _____